



Re-Registration Form for Nonretirement Inherited Assets

Complete this form in order to initiate a change of registration of inherited assets.

MAILING INSTRUCTIONS

Please send completed form to:

Regular Mail Delivery

Bernzott Funds
PO Box 2175
Milwaukee WI 53201-2175

Overnight Delivery

Bernzott Funds
C/O UMB Fund Services, Inc
235 W. Galena Street
Milwaukee WI 53212

PART I: CURRENT ACCOUNT INFORMATION

Decedent's Account Registration: _____

Additional Owner: _____

Decedent's Fund and Account Number: _____ Tax ID Number: _____

PART II: TAKING POSSESSION OF THE ACCOUNT

Shares: _____ \$ _____ _____ % of account

Date of Gift (if other than processing date): _____

PART III: COST BASIS METHOD OF TRANSFER

Please transfer the above listed shares using the following cost basis method for covered shares.

- First-in, First out (FIFO)
- Highest-in, First-Out, All Shares (HIFA)
- Lowest-in, First-Out, All Shares (LOFA)
- Average Cost
- Specific Identification
(please attach a separate sheet identifying lots)
- Other: _____

I understand that non-covered shares will be depleted first unless directed by me. Non-covered shares are depleted in FIFO order using the average cost method

PART VI: RECIPIENT OF THE GIFTED SHARES

Please indicate to whom the shares should be transferred. Be sure to check with the organization to whom you are giving the shares to assure the name and address are correct. For example, the shares should be registered in the name of the organization, endowment trust, special campaign, building fund, etc.

Legal Name of Recipient: _____

Street Address: _____

City: _____ State: _____ Zip Code: _____

PART VIII: DOCUMENTATION OPTIONS

I (we) certify that I have full right, power, authority and legal capacity to accept shares of the Fund.

Authorized Signature: _____

Authorized Signature (if joint account): _____

The request must include a Medallion Signature Guarantee by an eligible institution: a domestic bank or trust company, securities broker/dealer, clearing agent or savings association that participants in a Medallion Signature Guarantee.

Medallion Signature Guarantee (if required)