



Gift of Shares Transfer Form

Use this form to give shares from your Bernzott Funds account as a gift to an individual or a charity. Please consult your tax advisor to determine the federal, state, and local tax consequences of gifting shares. Once Part A is complete, Please forward this form to the share recipient for acceptance and instructions on Disposition of Shares. Complete a separate form for each Fund you are gifting.

MAILING INSTRUCTIONS

Please send completed form to:

Regular Mail Delivery

Bernzott Funds
PO Box 2175
Milwaukee WI 53201-2175

Overnight Delivery

Bernzott Funds
C/O UMB Fund Services, Inc
235 W. Galena Street
Milwaukee WI 53212

PART I: DONOR ACCOUNT INFORMATION

Your Account Information:

Account Registration: _____

Fund & Account Number: _____ US Tax ID Number: _____

PART II: GIFT AMOUNT

Gift Amount (choose one)

Shares _____ Dollars _____ % of your account _____

PART III: COST BASIS METHOD

Cost Basis Method of Transfer: Please transfer the above listed shares using the following cost basis method for

- | | | |
|---|--|--|
| <input type="checkbox"/> First-in, First out (FIFO) | <input type="checkbox"/> Highest-in, First-Out, All Shares (HIFA) | <input type="checkbox"/> Lowest-in, First-Out, All Shares (LOFA) |
| <input type="checkbox"/> Average Cost | <input type="checkbox"/> Specific Identification (please attach a separate sheet identifying lots) | <input type="checkbox"/> Other: _____ |

I understand that noncovered shares will be depleted first unless directed by me. Noncovered shares are depleted in FIFO order using the average cost method.

PART IV: RECIPIENT OF THE GIFTED SHARES

Recipient of the Gifted Shares: Please indicate to whom the shares should be transferred. Be sure to check with the organization to whom you are giving the shares to assure the name and address are correct. For example, the shares should be registered in the name of the organization, endowment trust, special campaign, building fund, etc.

Legal Name of Recipient: _____

Street Address: _____

City, State, Zip Code: _____

PART IV: DONOR'S AUTHORIZATION AND MEDALLION SIGNATURE GUARANTEE

Donor's Authorization and Medallion Signature Guarantee: The account owners hereby authorize the transfer of shares as a gift. If the account is a trust, custodial, or corporate account, please sign in your capacity. If the account is a corporate account, also include a copy of your corporate resolution dated within 60 days.

Authorized Signature: _____

Authorized Signature (if joint account): _____

The request must include a Medallion Signature Guarantee by an eligible institution: a domestic bank or trust company, securities broker/dealer, clearing agent or savings association that participants in a Medallion Signature Guarantee.

Medallion Signature Guarantee

PART IV: RECIPIENT SECTION

Option 1: Transfer to Existing Account (check those that apply)

- Please transfer the shares into my existing account
- The applicable method of cost basis on my existing account is average cost. If it is determined that the donor's carry-over basis is greater than the fair market value on the date of the gift, I agree that the fair market value will be used as the cost of shares in determining the average cost. **If you do not check this box, then you must open a new account that uses a method other than average cost. See Option 2.**

Account Number: _____ Tax ID Number: _____

Account Registration _____ Phone Number: _____

Option 2: Open a New Account: Please attach a completed Bernzott Funds Application (choose one).

- I (We) do not currently have an account with Bernzott Funds or
- I have an account that uses the average cost basis method for determining gain/loss. I am opening a new account with a different cost basis method as indicated on the enclosed application.

PART V: DISPOSITION OF NEW SHARES

- Transfer Gifted Shares into my account
- Transfer Gifted Shares into my account and redeem all shares (complete Part VI)
- Transfer Gifted Shares into my account and redeem _____ shares (complete Part VI)
- Transfer Gifted Shares into my account and redeem \$ _____ (complete Part VI)

PART VI: METHOD OF PAYMENT (COMPLETE ONLY IF YOU ARE REDEEMING SHARES)

- Check to Address of Record
- ACH Transfer to the bank account below
- Wire Transfer to the bank account below (fees apply)

Name of Bank: _____ City: _____ State: _____

ABA Number: _____ Bank Account Number: _____

Name of Bank Account: _____ Savings Checking

PART VII: RECIPIENT'S ACCEPTANCE AND MEDALLION SIGNATURE GUARANTEE

Authorized Signature: _____

Authorized Signature (if joint account): _____

The request must include a Medallion Signature Guarantee by an eligible institution: a domestic bank or trust company, securities broker/dealer, clearing agent or savings association that participants in a Medallion Signature Guarantee.

Medallion Signature Guarantee